

During a preflight, a pilot noticed a small amount of oil dripping from the bottom of the cowling. Although the quantity of oil seemed insignificant at the time, the pilot decided to delay the takeoff and have a mechanic check the source of the oil. The pilot's good judgment was confirmed when the mechanic found that one of the oil cooler hose fittings was loose.

**Environment**—This encompasses many elements not pilot or airplane related. It can include such factors as weather, air traffic control, nav aids, terrain, takeoff and landing areas, and surrounding obstacles. Weather is one element that can change drastically over time and distance.

A pilot was landing a small airplane just after a heavy jet had departed a parallel runway. The pilot assumed that wake turbulence would not be a problem since landings had been performed under similar circumstances. Due to a combination of prevailing winds and wake turbulence from the heavy jet drifting across the landing runway, the airplane made a hard landing. The pilot made an error when assessing the flight environment.

**Operation**—The interaction between the pilot, airplane, and the environment is greatly influenced by the purpose of each flight operation. The pilot must evaluate the three previous areas to decide on the desirability of undertaking or continuing the flight as planned. It is worth asking why the flight is being made, how critical is it to maintain the schedule, and is the trip worth the risks?

On a ferry flight to deliver an airplane from the factory, in marginal weather conditions, the pilot calculated the groundspeed and determined that the airplane would arrive at the destination with only 10 minutes of fuel remaining. The pilot was determined to keep on schedule by trying to "stretch" the fuel supply instead of landing to refuel. After landing with low fuel state, the pilot realized that this could have easily resulted in an emergency landing in deteriorating weather conditions. This was a chance that was not worth taking to keep the planned schedule.

### ASSESSING RISK

Examining National Transportation Safety Board (NTSB) reports and other accident research can help assess risk more effectively. For example, the accident rate during night VFR decreases by nearly 50 percent once a pilot obtains 100 hours, and continues to decrease until the 1,000-hour level. The data suggest that for the first 500 hours, pilots flying VFR at night might want to establish higher personal limitations than are required by the regulations and, if applicable, apply instrument flying skills in this environment. [Figure 16-4]

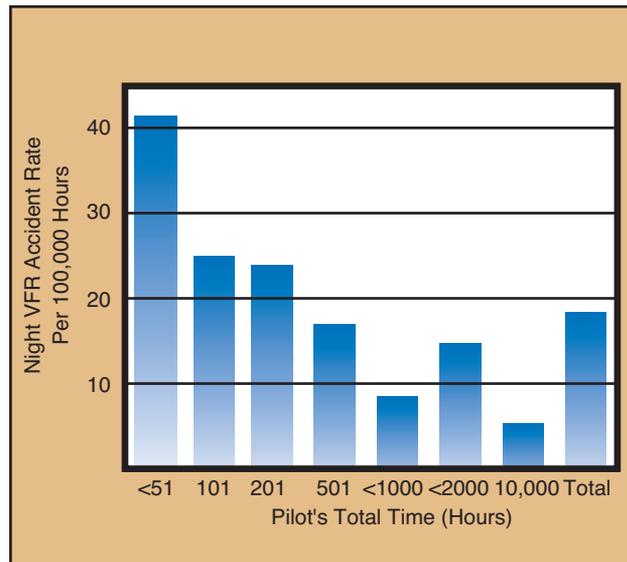


Figure 16-4. Statistical data can identify operations that have more risk involved.

Studies also indicate the types of flight activities that are likely to result in the most serious accidents. The majority of fatal general aviation accidents fall under the categories of takeoff/initial climb, maneuvering flight, approaches, and weather. Delving deeper into accident statistics can provide some important details that can help in understanding the risks involved with specific flying situations. For example, maneuvering flight is one of the largest single producers of fatal accidents. In the approach phase, fatal accidents often happen at night or in IFR conditions. Takeoff/initial climb accidents frequently are due to the pilot's lack of awareness of the effects of density altitude on airplane performance or other improper takeoff planning resulting in loss of control during, or shortly after takeoff. The majority of weather-related accidents occur after attempted VFR flight into IFR conditions.

### FACTORS AFFECTING DECISION MAKING

It is important to point out the fact that being familiar with the decision-making process does not ensure the good judgment to be a safe pilot. The ability to make effective decisions as pilot in command depends on a number of factors. Some circumstances, such as the time available to make a decision may be beyond a pilot's control. However, one can learn to recognize those factors that can be managed, and learn skills to improve decision-making ability and judgment.

### PILOT SELF-ASSESSMENT

The pilot in command of an airplane is directly responsible for, and is the final authority as to, the operation of that airplane. To effectively exercise that responsibility and make effective decisions regarding the outcome of a flight, a pilot should be aware of personal limitations. Performance during a flight is

affected by many factors, such as health, recency of experience, knowledge, skill level, and attitude.

Exercising good judgment begins prior to taking the controls of an airplane. Often, pilots thoroughly check their airplane to determine airworthiness, yet do not evaluate their own fitness for flight. Just as a checklist is used when preflighting an airplane, a personal checklist based on such factors as experience, currency, and comfort level can help determine if a pilot is prepared for a particular flight. Specifying when refresher training should be accomplished and designating weather minimums that may be higher than those listed in Title 14 of the Code of Federal Regulations (14 CFR) part 91 are elements that may be included on a personal checklist. In addition to a review of personal limitations, use the I'M SAFE Checklist to further evaluate fitness for flight. [Figure 16-5]

### RECOGNIZING HAZARDOUS ATTITUDES

Being fit to fly depends on more than just a pilot's physical condition and recency of experience. For example, attitude will affect the quality of decisions. Attitude can be defined as a personal motivational predisposition to respond to persons, situations, or events in a given manner. Studies have identified five hazardous attitudes that can interfere with the ability to make sound decisions and exercise authority properly. [Figure 16-6]

Hazardous attitudes can lead to poor decision making and actions that involve unnecessary risk. The pilot must examine decisions carefully to ensure that the choices have not been influenced by hazardous attitudes and be familiar with positive alternatives to counteract the hazardous attitudes. These substitute



**Figure 16-5.** Prior to flight, pilot fitness should be assessed the same as the airplane's airworthiness is evaluated.

attitudes are referred to as antidotes. During a flight operation, it is important to be able to recognize a hazardous attitude, correctly label the thought, and then recall its antidote. [Figure 16-7]

### STRESS MANAGEMENT

Everyone is stressed to some degree almost all the time. A certain amount of stress is good since it keeps a person alert and prevents complacency. However, effects of stress are cumulative and, if not coped with adequately, they eventually add up to an intolerable burden. Performance generally increases with the onset of stress, peaks, and then begins to fall off rap-

| THE FIVE HAZARDOUS ATTITUDES                                   |  |
|--|--|
| <p><b>1. Anti-Authority:</b><br/>"Don't tell me."</p>          | <p>This attitude is found in people who do not like anyone telling them what to do. In a sense, they are saying, "No one can tell me what to do." They may be resentful of having someone tell them what to do, or may regard rules, regulations, and procedures as silly or unnecessary. However, it is always your prerogative to question authority if you feel it is in error.</p>   |
| <p><b>2. Impulsivity:</b><br/>"Do it quickly."</p>             | <p>This is the attitude of people who frequently feel the need to do something, anything, immediately. They do not stop to think about what they are about to do; they do not select the best alternative, and they do the first thing that comes to mind.</p>   |
| <p><b>3. Invulnerability:</b><br/>"It won't happen to me."</p> | <p>Many people feel that accidents happen to others, but never to them. They know accidents can happen, and they know that anyone can be affected. They never really feel or believe that they will be personally involved. Pilots who think this way are more likely to take chances and increase risk.</p>   |
| <p><b>4. Macho:</b><br/>"I can do it."</p>                     | <p>Pilots who are always trying to prove that they are better than anyone else are thinking, "I can do it—I'll show them." Pilots with this type of attitude will try to prove themselves by taking risks in order to impress others. While this pattern is thought to be a male characteristic, women are equally susceptible.</p>  |
| <p><b>5. Resignation:</b><br/>"What's the use?"</p>            | <p>Pilots who think, "What's the use?" do not see themselves as being able to make a great deal of difference in what happens to them. When things go well, the pilot is apt to think that it is good luck. When things go badly, the pilot may feel that someone is out to get me, or attribute it to bad luck. The pilot will leave the action to others, for better or worse. Sometimes, such pilots will even go along with unreasonable requests just to be a "nice guy."</p> |

**Figure 16-6.** The pilot should examine decisions carefully to ensure that the choices have not been influenced by a hazardous attitude.

| HAZARDOUS ATTITUDES   | ANTIDOTES  |
|---|--|
| <p><b>Anti-Authority</b> — Although he knows that flying so low to the ground is prohibited by the regulations, he feels that the regulations are too restrictive in some circumstances.</p>  | <p><b>Follow the rules. They are usually right.</b></p>  |
| <p><b>Impulsivity</b> — As he is buzzing the park, the airplane does not climb as well as Steve had anticipated and without thinking, Steve pulls back hard on the yoke. The airspeed drops and the airplane is close to a stalling attitude as the wing brushes a power line.</p>  | <p><b>Not so fast. Think first.</b></p>                  |
| <p><b>Invulnerability</b> — Steve is not worried about an accident since he has flown this low many times before and he has not had any problems.</p>   | <p><b>It could happen to me.</b></p>                     |
| <p><b>Macho</b> — Steve often brags to his friends about his skills as a pilot and how close to the ground he flies. During a local pleasure flight in his single-engine airplane, he decides to buzz some friends barbecuing at a nearby park.</p>   | <p><b>Taking chances is foolish.</b></p>                 |
| <p><b>Resignation</b> — Although Steve manages to recover, the wing sustains minor damage. Steve thinks to himself, "It's dangerous for the power company to put those lines so close to a park. If somebody finds out about this I'm going to be in trouble, but it seems like no matter what I do, somebody's always going to criticize."</p> | <p><b>I'm not helpless. I can make a difference.</b></p> |

**Figure 16-7.** The pilot must be able to identify hazardous attitudes and apply the appropriate antidote when needed.

idly as stress levels exceed a person's ability to cope. The ability to make effective decisions during flight can be impaired by stress. Factors, referred to as stressors, can increase a pilot's risk of error in the cockpit. [Figure 16-8]

| STRESSORS   |   |
|---|---|
| <p><b>Physical Stress</b>—Conditions associated with the environment, such as temperature and humidity extremes, noise, vibration, and lack of oxygen.</p> <p><b>Physiological Stress</b>—Physical conditions, such as fatigue, lack of physical fitness, sleep loss, missed meals (leading to low blood sugar levels), and illness.</p> <p><b>Psychological Stress</b>—Social or emotional factors, such as a death in the family, a divorce, a sick child, or a demotion at work. This type of stress may also be related to mental workload, such as analyzing a problem, navigating an aircraft, or making decisions.</p> |  |

**Figure 16-8.** The three types of stressors that can affect a pilot's performance.

There are several techniques to help manage the accumulation of life stresses and prevent stress overload. For example, including relaxation time in a busy schedule and maintaining a program of physical fitness can help reduce stress levels. Learning to manage time more effectively can help avoid heavy pressures imposed by getting behind schedule and not meeting deadlines. Take a self-assessment to determine capabilities and limitations and then set realistic goals. In addition, avoiding stressful situations and encounters can help to cope with stress.

### USE OF RESOURCES

To make informed decisions during flight operations, a pilot must become aware of the resources found both inside and outside the cockpit. Since useful tools and sources of information may not always be readily apparent, learning to recognize these resources is an essential part of ADM training. Resources must not only be identified, but a pilot must develop the skills to evaluate whether there is time to use a particular resource and the impact that its use will have upon the safety of flight. For example, the assistance of air traffic control (ATC) may be very useful if a pilot becomes lost. However, in an emergency situation when action needs to be taken quickly, time may not be available to contact ATC immediately.

### INTERNAL RESOURCES

Internal resources are found in the cockpit during flight. Since some of the most valuable internal resources are ingenuity, knowledge, and skill, a pilot can expand cockpit resources immensely by improving these capabilities. This can be accomplished by frequently reviewing flight information publications, such as the CFRs and the *Aeronautical Information Manual* (AIM), as well as by pursuing additional training.

A thorough understanding of all the equipment and systems in the airplane is necessary to fully utilize all resources. For example, advanced navigation and autopilot systems are valuable resources. However, if pilots do not fully understand how to use this equipment,

or they rely on it so much that they become complacent, it can become a detriment to safe flight.

Checklists are essential cockpit resources for verifying that the airplane instruments and systems are checked, set, and operating properly, as well as ensuring that the proper procedures are performed if there is a system malfunction or in-flight emergency. In addition, the Airplane Flight Manual/Pilot's Operating Handbook (AFM/POH), which is required to be carried on board the airplane, is essential for accurate flight planning and for resolving in-flight equipment malfunctions. Other valuable cockpit resources include current aeronautical charts and publications, such as the *Airport/Facility Directory*.

Passengers can also be a valuable resource. Passengers can help watch for traffic and may be able to provide information in an irregular situation, especially if they are familiar with flying. A strange smell or sound may alert a passenger to a potential problem. A pilot in command should brief passengers before the flight to make sure that they are comfortable voicing any concerns.

### EXTERNAL RESOURCES

Possibly the greatest external resources during flight are air traffic controllers and flight service specialists. ATC can help decrease pilot workload by providing traffic advisories, radar vectors, and assistance in emergency situations. Flight service stations can provide updates on weather, answer questions about airport conditions, and may offer direction-finding assistance. The services provided by ATC can be invaluable in enabling a pilot to make informed in-flight decisions.

### WORKLOAD MANAGEMENT

Effective workload management ensures that essential operations are accomplished by planning, prioritizing, and sequencing tasks to avoid work overload. As experience is gained, a pilot learns to recognize future workload requirements and can prepare for high workload periods during times of low workload. Reviewing the appropriate chart and setting radio frequencies well in advance of when they are needed helps reduce workload as the flight nears the airport. In addition, a pilot should listen to ATIS, ASOS, or AWOS, if available, and then monitor the tower frequency or CTAF to get a good idea of what traffic conditions to expect. Checklists should be performed well in advance so there is time to focus on traffic and ATC instructions. These procedures are especially important prior to entering a high-density traffic area, such as Class B airspace.

To manage workload, items should be prioritized. During any situation, and especially in an emergency, remember the phrase "aviate, navigate, and communicate." This means that the first thing the pilot should do is to make sure the airplane is under control. Then begin flying to an acceptable landing area. Only after

the first two items are assured should the pilot try to communicate with anyone.

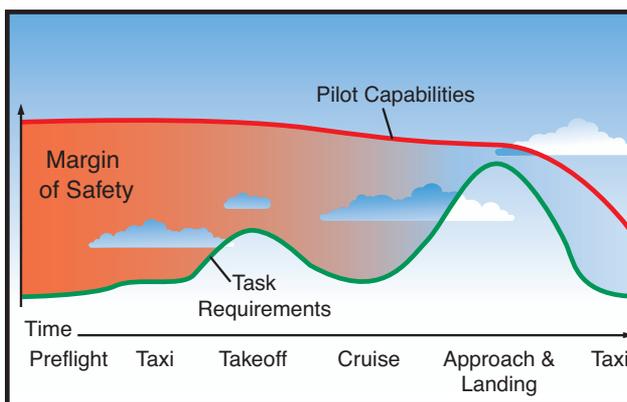
Another important part of managing workload is recognizing a work overload situation. The first effect of high workload is that the pilot begins to work faster. As workload increases, attention cannot be devoted to several tasks at one time, and the pilot may begin to focus on one item. When a pilot becomes task saturated, there is no awareness of inputs from various sources, so decisions may be made on incomplete information, and the possibility of error increases. [Figure 16-9]

When becoming overloaded, stop, think, slow down, and prioritize. It is important to understand options that may be available to decrease workload. For example, tasks such as locating an item on a chart or setting a radio frequency may be delegated to another pilot or passenger; an autopilot, if available, may be used; or ATC may be enlisted to provide assistance.

### SITUATIONAL AWARENESS

Situational awareness is the accurate perception of the operational and environmental factors that affect the airplane, pilot, and passengers during a specific period of time. Maintaining situational awareness requires an understanding of the relative significance of these factors and their future impact on the flight. When situationally aware, the pilot has an overview of the total operation and is not fixated on one perceived significant factor. Some of the elements inside the airplane to be considered are the status of airplane systems, and also the pilot and passengers. In addition, an awareness of the environmental conditions of the flight, such as spatial orientation of the airplane, and its relationship to terrain, traffic, weather, and airspace must be maintained.

To maintain situational awareness, all of the skills involved in aeronautical decision making are used. For



**Figure 16-9. Accidents often occur when flying task requirements exceed pilot capabilities. The difference between these two factors is called the margin of safety. Note that in this idealized example, the margin of safety is minimal during the approach and landing. At this point, an emergency or distraction could overtax pilot capabilities, causing an accident.**

example, an accurate perception of pilot fitness can be achieved through self-assessment and recognition of hazardous attitudes. A clear assessment of the status of navigation equipment can be obtained through workload management, and establishing a productive relationship with ATC can be accomplished by effective resource use.

### OBSTACLES TO MAINTAINING SITUATIONAL AWARENESS

Fatigue, stress, and work overload can cause a pilot to fixate on a single perceived important item rather than maintaining an overall awareness of the flight situation. A contributing factor in many accidents is a distraction that diverts the pilot's attention from monitoring the instruments or scanning outside the airplane. Many cockpit distractions begin as a minor problem, such as a gauge that is not reading correctly, but result in accidents as the pilot diverts attention to the perceived problem and neglects to properly control the airplane.

Complacency presents another obstacle to maintaining situational awareness. When activities become routine, there is a tendency to relax and not put as much effort into performance. Like fatigue, complacency reduces a pilot's effectiveness in the cockpit. However, complacency is harder to recognize than fatigue, since everything is per-

ceived to be progressing smoothly. For example, a pilot has not bothered to calculate the CG of the airplane because it has never been a problem. Without the pilot realizing it, a passenger loads a heavy piece of equipment in the nose baggage compartment. The pilot notices severe nose heaviness during climb-out after takeoff, and finds it necessary to use full nose-up trim to maintain level flight. As the pilot flares for landing, the elevator reaches the stop without raising the nose enough, and the nose-first landing results in loss of the nose gear and extensive damage to the airplane.

### OPERATIONAL PITFALLS

There are a number of classic behavioral traps into which pilots have been known to fall. Pilots, particularly those with considerable experience, as a rule, always try to complete a flight as planned, please passengers, and meet schedules. The basic drive to meet or exceed goals can have an adverse effect on safety, and can impose an unrealistic assessment of piloting skills under stressful conditions. These tendencies ultimately may bring about practices that are dangerous and often illegal, and may lead to a mishap. A pilot will develop awareness and learn to avoid many of these operational pitfalls through effective ADM training. [Figure 16-10]

| OPERATIONAL PITFALLS  |
|---|
| <p><b>Peer Pressure</b>—Poor decision making may be based upon an emotional response to peers, rather than evaluating a situation objectively.</p> <p><b>Mind Set</b>—A pilot displays mind set through an inability to recognize and cope with changes in a given situation.</p> <p><b>Get-There-Itis</b>—This disposition impairs pilot judgment through a fixation on the original goal or destination, combined with a disregard for any alternative course of action.</p> <p><b>Duck-Under Syndrome</b>—A pilot may be tempted to make it into an airport by descending below minimums during an approach. There may be a belief that there is a built-in margin of error in every approach procedure, or a pilot may want to admit that the landing cannot be completed and a missed approach must be initiated.</p> <p><b>Scud Running</b>—This occurs when a pilot tries to maintain visual contact with the terrain at low altitudes while instrument conditions exist.</p> <p><b>Continuing Visual Flight Rules (VFR) into Instrument Conditions</b>—Spatial disorientation or collision with ground/obstacles may occur when a pilot continues VFR into instrument conditions. This can be even more dangerous if the pilot is not instrument-rated or current.</p> <p><b>Getting Behind the Aircraft</b>—This pitfall can be caused by allowing events or the situation to control pilot actions. A constant state of surprise at what happens next may be exhibited when the pilot is getting behind the aircraft.</p> <p><b>Loss of Positional or Situational Awareness</b>—In extreme cases, when a pilot gets behind the aircraft, a loss of positional or situational awareness may result. The pilot may not know the aircraft's geographical location, or may be unable to recognize deteriorating circumstances.</p> <p><b>Operating Without Adequate Fuel Reserves</b>—Ignoring minimum fuel reserve requirements is generally the result of overconfidence, lack of flight planning, or disregarding applicable regulations.</p> <p><b>Descent Below the Minimum En Route Altitude</b>—The duck-under syndrome, as mentioned above, can also occur during the en route portion of an IFR flight.</p> <p><b>Flying Outside the Envelope</b>—The assumed high performance capability of a particular aircraft may cause a mistaken belief that it can meet the demands imposed by a pilot's overestimated flying skills.</p> <p><b>Neglect of Flight Planning, Preflight Inspections, and Checklists</b>—A pilot may rely on short- and long-term memory, regular flying skills, and familiar routes instead of established procedures and published checklists. This can be particularly true of experienced pilots.</p> |

Figure 16-10. All experienced pilots have fallen prey to, or have been tempted by, one or more of these tendencies in their flying careers.